# Hennessy and Associates Investment Account Application



## Valid from September 2021

Please complete this form in BLOCK LETTERS and black ink. and return it to Hennessy and Associates. If you have any questions regarding this form please contact our Client Relations team at clientrelations@hennessyandassociates.com

## 1. About you

Your existing account number (if you have one)
Your title (eg. Mr/Mrs/Miss/Ms/Other. Surname First name(s) in full
Your permanent residential address
ZIP/Postal code
Telephone numbers
Daytime telephone number Evening telephone number
Your date of birth (Day/Month/Year) City/town of birth Country of birth
Country of Citizenship
Account designation
If you want to designate your Investment Account, please indicate the designation below. e.g. school fees, grandchild's name
Email address
First additional account holder
Your existing account number (if you have one)
Your title (e.g. Mr/Mrs/Miss/Ms/Other) Surname First name(s) in full
Your title (e.g. Mr/Mrs/Miss/Ms/Other) Surname First name(s) in full
Your permanent residential address
Provide de la constructor de
Postal code
Telephone numbers
Daytime telephone number Evening telephone number
+
Your date of birth (Day/Month/ City/town of birth Country of birth
Country of Citizenship
Email address (optional)

# Hennessy and Associates Investment Account Application

About you (continued)
Second additional account holder
Title (e.g. Mr/Mrs/Miss/Ms/Other)
Your title (e.g. Mr/Mrs/Miss/Ms/Other) Surname First name(s) in full
Your permanent residential address
Postal code
Telephone numbers
Daytime telephone number Evening telephone number
Your date of birth (Day/Month/ City/town of birth Country of birth
Country of Citizenship
Email address (optional)

# 1b. Institutional / Trust Investors

#### 1. Name and Registered Address

Please enter the Investor's details here:

Name

1

Address

Contact Name

<u>Tel.</u> E-mail

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#### 2. Tax residency

If you have any questions about your tax residency. please contact your tax advisor. Should any information provided chani, e in the future. please ensure you advise us of the changes promptly.

#### Account holder:

Country of tax residence:

Please indicate if there is any other country in which you are resident for tax purposes.

Additional Country of tax residence (if applicable)

First additional account holder:

Country of tax residence:

Additional Country of tax residence (if applicable)

Second additional account holder:

Country of tax residence:

Additional Country of tax residence (if applicable)

### 3. Choosing your investments

You can use this application form to invest in various stocks, ETFs, or mutual funds. Please use the space below to choose your investments.

Stock Name	Ticker Symbol		Amount
			S
	[		\$
			\$
			\$
			S
			S
			S
		Totai	

#### 4. Investor Information

Please answer this section to allow us to better tailor our services to fit your needs.

Investment Experience	Other Brokerage Accounts
None Limited Experenced	None One More than One
Annual Income Range (USD)	
10,000 - 100,000 100,000 to 500,000	500,000 - 1,000,000 1,000,000+
Current Funds Invested (USD)	
10,000 - 100,000 100,000 to 500,000	500,000 - 1,000,000 1,000,000+

### 5. Agreement and signature

Please read this section carefully before you sign and date below.

We (Hennessy and Associates) will setup your account in accordance with the information you have provided in this application form and the Hennessy and Associates dient Agreement. Please read this form and our Hennessy and Associates Client Agreement carefully because we will rely on them in all our dealings with you. Please contact us if there is anythingyou don't understand.

#### USingyour personal information

We will use your personal information to setup and administer your account. We may only pass your information to our third party service providers. or to legal or regulatory bodies.

(Al applicants must sign this form)

To: Hennessy and Associates

I/We appoint Hennessy and Associates as my/our Plan Manager in the operation of my/our Hennessy and Associates Investment Account I/We agree that the Hennessy and Associates Investment Account will be operated in accordance with the Hennessy and Associates Client Agreement contained in the current Hennessy and AssociatesClient Agreement document.

I/we agree to inform you immediately c:/any change in my/our circumstances affecting any c:/the information in this form.

I/We declare that the information provided on this form is to the best of my/our knowledge and belief. accurate and complete. I/We confirm that I/we are accountable to the relevant legal. tax and exchange control regulations in force in the countries of my/our citizenship. residence or domicile.

I/We confirm that I am/we are 18 years of age or over and that I/we have read and agree to the Hennessy and Associates Client Agreement.

This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing them together with the separate Hennessy and Associatesdient Agreement document. If you do not understand any point please ask for information.

Your signature	Date (Day/Month/Year)
First additional account holder's signature	Date (Day/Month/Year)
Second additional account holder's signature	Date (Day/Month/Year)

Please note all correspondence should be sent to clientrelations@hennessyandassociates.com. Telephone calls may be recorded and monitored for security and training purposes.